

RLG Shared Print Update

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Overview

- RLG 'Prospective' Journals Preservation Project – status report
- De-duplication of print journal back files – working group update
- Network disclosure of print archiving commitments – MARC 583 proposal

Journals Preservation Project: Context

- Growing evidence that *traditional print repositories are reassessing print preservation mandate*
 - Ithaka surveys of faculty / librarian perceptions (2003-)
 - SCONUL survey of library leaders (2008)
 - RLG Research Libraries Risk Assessment (2008)
- OCLC analyses suggest *duplication in system-wide library holdings is relatively limited*
 - Google 5 study (2006)
 - Global Resources Report (2007)
 - Unique print books in ARL libraries (2008)
- Print preservation *risk models*
 - C. Yano/Ithaka research report (2008)

RLG Journals Preservation Project

- **Goal:** Develop a shared methodology and operational workflows for identifying and managing at-risk serial publications *as a class*
- **Strategy:** Encourage libraries with existing collection strengths to assess print serials holdings and acquisitions with an eye to system-wide preservation requirements
- **Scope:** Active, refereed scholarly journals in the humanities with print-only distribution channels and <50 holding libraries
- **Method:** Assign sample titles for institutional review to assess condition, coverage, scholarly value and commitment to retain/acquire indefinitely

Current Status

- 230+ titles identified for inclusion based on WorldCat holdings and bibliographic data
 - 42% English language publications
 - Median holdings per title = 24
 - Median holdings per title in pilot group = 2
 - Average age of publication = 27 years
- Title list made available as WorldCat list
 - Reference-able data
 - Lives 'in the network'
- Review template successfully deployed
 - Volume-level validation of coverage and condition
 - Institutional retention assessments

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RLG Prospective Journals Preservation Project - sample titles

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WorldCat_346407.csv [Read-Only]

	A	B	C	D	E	F	G
1	OCLC #	"Title"	"Author"	"Language"	"Publisher"	"Notes"	"Added date"
2	2240614	Tareas.		Spanish	Panamá : U	Arizona	11/17/2008 17:48
3	39243119	Sexta feira : antropologia, artes, humanidades.		Portuguese	Salfo Pau	Arizona	11/17/2008 17:18
4	14093368	Revista Universidad de Antioquia.	Universidad d	Spanish	Medellín	Arizona	11/17/2008 16:41
5	1763175	Journal of research (humanities).	University of t	English	Lahore : U	Arizona	11/17/2008 16:44
6	21262490	Farhang.	MuÊ¼assasa	Persian	[Tehran?] :	Arizona	11/17/2008 16:47
7	13415450	New Arcadians' journal.		English	Bradford, V	Getty	11/17/2008 16:47
8	6960378	Canadian journal of Netherlandic studies. Revue canadienne d'el	Association fo	English	Windsor, C	Getty	11/17/2008 16:46
9	5468102	Biuletyn historii sztuki.	Stowarzyszer	Polish	Warszawa	Getty	11/17/2008 16:37
10	2186056	Avrea Parma : rivista quadrimestrale di storia, letteratura e arte.		Italian	[Parma : s	Getty	11/17/2008 16:52
11	3577530	Ars Hungarica.	Magyar Tudor	Hungarian	Budapest,	Getty	11/17/2008 16:46
12	14126661	Andon : bulletin of the Society for Japanese Arts and Crafts	Society for Ja	English	[The Hagu	Getty	11/17/2008 17:00
13	46654579	Revista de estudios orteguianos.	Centro de Est	Spanish	Madrid : C	Michigan	11/17/2008 17:22
14	33925284	Mazowieckie studia humanistyczne.	Mazowiecka	Polish	Åowicz :	Michigan	11/17/2008 16:53
15	13388883	Malay : dyurnal ng humanidades at agham panlipunan.	De La Salle U	Tagalog	Manila : D	Michigan	11/17/2008 16:54
16	1.23E+08	Magister : revista de la Escuela Universitaria de Magisterio.	Universidad d	Spanish	[Oviedo, S	Michigan	11/17/2008 16:54
17	9600396	Journal of law and society.	University of P	English	[Peshawar	Michigan	11/17/2008 16:50
18	26712953	Bioethics forum.	Midwest Bioe	English	Kansas Ci	Michigan	11/17/2008 16:42
19	1754022	Tuŕkiyat mecmuasÄ±.	İŕstanbul Üŕ	Turkish	İŕstanbul,	NYU	11/17/2008 16:43
20	44685116	Societŕtelŕs & repreŕsentations.	CREDHESS (French	Paris : CR	NYU	11/17/2008 17:45
21	4948356	Parnassos.	Philologikos	Greek, Mo	[Athens P]	NYU	11/17/2008 16:38

3.

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[Burgen und Schlösser.](#)

Journal, magazine : Periodical

Added 2008-11-17 18:35

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Avrea Parma : rivista quadrimestrale di storia, letteratura e arte.

☆☆☆☆☆
(not yet rated)

Type: Journal, magazine : Periodical; Italian

Publisher: [Parma : s.n.,

ISSN: 0004-8062

OCLC: 2186056

Related Subjects: [Parma \(Italy\) -- History -- Periodicals.](#) | [Italian literature -- Periodicals.](#) | [Art -- Italy -- Periodicals.](#)

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Limited aggregate holdings
Limited holdings within group

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94115 San Francisco, CA

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2. [University of Arizona](#) Tucson, AZ 85721 United States

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Began 1912...
Getty holds recent issues only
Arizona holds single volume

Preliminary Findings

- Approx. 40% of titles reviewed are held in their entirety by the assigned institution
 - ➔ Title-level retention claim could be made with relative ease; archiving investment is minimal, prospective
 - ➔ Pilot project could elevate 90 or more at-risk serial titles in initial sample to 'archived' status within months
- Approx. 30% of titles are <50% complete at assigned institution
 - ➔ Will likely require reassignment to other archiving partner; local gap-filling for these titles is last resort
 - ➔ Broadening participation base will increase number of titles susceptible to near term archiving commitment

Preliminary Findings (cont.)

- Local burden for (light-weight) validation is relatively modest, approx. 15 minutes/title
 - ➔ Low overhead makes multiple validations feasible
- Pilot participants are prepared to declare archiving commitment for selected titles
 - ➔ Global retention / access policy for locally archived titles
- Relatively low cost of titles makes ongoing acquisitions a low-risk proposition
 - ➔ @ \$50/title, prospective archiving is sustainable

What's Next?

- Scale up review activity to 'production' level
- Develop method for re-assignment of titles within group
- Assess cost/benefit of secondary evaluation for titles held at >50% completion rate
- Assess cost/benefit of secondary evaluation for titles held at <50% -- will likely entail outreach to libraries outside pilot group

Target: Complete review of initial 230 title sample
by April/May

Compile data on direct costs of validation
and continuing subscriptions

Food for Thought

10,000 peer-reviewed journals in print-only form...

- If 40% held in entirety by at least one institution, can 'rescue' 4000 journal titles at minimal cost and effort;
- For remaining 60%, need to assess value of retrospective archiving efforts, which are likely to be labor intensive if complete coverage is sought;
- Until more is known about aggregate demand for these resources, cooperative effort in prospective archiving provides appropriate balance of risk/value.

RLG Journals Preservation Project

- Participating Libraries

- Getty Research Library
- New York University
- Penn State University
- Stanford University
- University of Arizona
- UCLA
- University of Michigan
- University of Pennsylvania

. . .and the California Digital Library

Questions, Comments?

Willing to Perform Secondary Validation?

MARC 583 for Distributed Print Archiving

- 583 defined for use in disclosing local preservation action: repair, reformatting, etc
- As part of CCMT project (2007-'08), guidelines developed for use in declaring print archiving commitments ***as part of batch processing***
- Guidelines tabled after CCMT pilot terminated, December 2008
- Revived as part of RLG Journals Preservation project

MARC 583 tag usage in WorldCat

- Approximately 400K tags, representing one million holdings
- Reflects wide range of local actions or intents
 - Microfilmed, digitized, will not conserve, selected for Web archiving, ISSN registration ...
- Used in Registry of Digital Masters to record preservation digitization actions
- Not retained in all batch loads (“local data”)

583 and Cooperative Print Management

- Enables *effective network disclosure* of institutional archiving commitments
- *Raises visibility and value* of extant print archives
- Increases *incentives for participation* in distributed archiving arrangements
- Supports *coordinated management of print resources* across regional, national boundaries
- Represents *existing infrastructure that can be deployed in the short term*

583 for Monographic Print Archiving

Draft guidelines (2008) *required disclosure* of

- Level of retention commitment (dark, dim, light)
- Date of commitment

...*recommended disclosure* of

- Condition assessment (has/not been reviewed)
- Item condition
- Date of assessment

And *posited role for national libraries* as 'dark' archives, collections of last resort

Preliminary Consultation – Jan 12 2009

- Representatives from LoC, NAL, NLM
- CONSER liaisons from institutions participating in RLG Journals Preservation Project
- UC Shared Print Management team

Questions:

- What are the key ***operational obstacles*** to disclosing title-level retention commitments for print serial holdings in the MARC 583 tag of master bibliographic record?
- Feasible to apply ***class-based retention commitments*** to materials in your collections?
- Currently managing ***title-level condition or retention information*** outside of MARC bibliographic or holdings record? Cost/benefit of disclosing this data?

Additional Consultations at ALA Midwinter

- ALCTS CDS Chief Collection Development Officer
 - Potential value in cooperative print management
- Preservation Administrators Interest Group
 - Role in cooperative preservation initiatives
- CONSER At Large
 - Technical considerations

Proposed modifications (Allgood)

- 583 for print archiving action:
 - \$3 Specification of copy (optional)
 - **\$a Level of archiving commitment** (required)
 - Dark archive copy – permanent retention, surrogate access
 - National – permanent retention, on-site/surrogate access
 - Light archive – permanent retention, circulating copy
 - **\$c Time/Date of action** (required) – date archived
 - \$l Status (optional) – access restrictions if any
 - **\$m Dates and/or sequential designation of issues** (required) – range-specific commitment
 - \$u URL link (optional) – add'l documentation as needed
 - **\$5 Institution to which field applies** (NR) (required)
 - 042 used to identify records as part of national trust

Text in red represents proposed revision to draft guidelines

Sample Record

>010 86647321
>040 DLC \$c DLC
>012 3 \$i 8606
>022 0262-558X
>042 **lc \$a ccmt**
>043 e-uk---
>050 00 N6768 \$b .N48
>082 00 709/.42 \$2 19
>090 \$b
>049 OCLC
>245 00 New Arcadians' journal.
>260 Bradford, West Yorkshire : \$
>300 v. : \$b ill. ; \$c 21 cm.
>500 Description based on: No. 1
>583 **1 \$a National copy \$c 2008-01-13 \$m Vol. 1, no. 1 (1981)-**
\$2 ccmt \$5 CStmoGRI
>650 0 Art, British \$v 20th century \$v Pe
>850
>029 1

Identifies record as part of print archive 'registry'

Title subject to permanent retention; on-site or surrogate access only

Effective date of archiving commitment

Terminology conforms to CCMT data dictionary

Range-specific archive commitment

Institutional identity of archive

What's Next?

- Further consultations
 - Collection managers – How and when will data be used?
 - Technical services – Workflow Integration
 - Preservation administrators – Condition terms
- Possible MARBI proposal – use of 583\$m to disclose range-specific commitments
- Draft CCMT thesaurus for submission to MARC Standards Office to establish CCMT as authentication code and source of terms.
- Coordination with OCLC product management – batch loads, WorldCat quality assurance

Target: Approvals in place for May 2009 MARC Update to WorldCat
Testbed data from RLG Journals Project and external sources

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Emily Stambaugh, CDL

Questions, Comments?

Technical Expertise to Contribute?

Questions

- Which sources of extant data will deliver greatest impact?
 - JSTOR archives (dim, dark?) – MSU, CRL, FCLD, etc.
 - UK Research Reserve Retention Register
 - Regional last/single copy archives – VALE, PALCI, etc.

- Is there value in recording digital archiving commitments?
 - Portico
 - LOCKKS
 - HATHI

Stay Informed . . .

- RLG Future of Collections email discussion list
- RLG Shared Print Facebook Group
- OCLC Research Web site
www.oclc.org/programs/ourwork/collectivecoll/sharedprint
- RLG Journals Preservation Project sample title list
www.worldcat.org/profiles/cmалpas/lists/346407

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