

The Future of Collections: Breakout Discussion

RLG Annual Meeting
2-3 June 2008
Philadelphia, PA

Day Two - Breakout Agenda

- 9:30 Introductions
 - Goals, objectives
 - Recap Day One: Challenges, Breakout priorities
- 10:00 RLG: Managing the Collective Collection
- 10:30 Related work at Partner institutions
- 11:00 *Break*
- 11:15 Dr. S. Sugiyama "Keio University's Google Books Partnership"
 - Discussion: mass digitization and the collective coll'n
- 12:00 *Lunch*
- 1:00 Identify projects with high potential impact / influence
 - Joint recommendations: action items for RLG
- 3:00 *Break*

Introductions

Setting the Landscape

- Nancy Eaton, Dean of Libraries, Penn State University
- Stephen Bosch, Materials Budget & Licensing, University of Arizona

Team Leaders

- Dennis Massie, Program Officer
- Constance Malpas, Program Officer

Programs & Research Staff

- Nancy Elkington, Director of RLG Partner Relations
- Eric Childress, Consulting Project Manager



Recap: Future of Collections (Eaton/Bosch)

- Business intelligence about system-wide holdings and behaviors is critical: evidence will drive local and collective action
- Need to extend collection-sharing models (and regimes) to licensed/digital content
 - Impact on associated workflows - cataloging, accessioning and check-in
- Legacy print holdings : collective preservation asset / liability
- Future print investment : strategic, based on aggregate holdings and need

Future of Collections: Challenges

- Evaluate impact / influence of current program areas:
 - Shared print collections
 - Harmonizing digitization
 - Data-mining for management intelligence
 - Collection-sharing beyond libraries
- Assess need for additional analyses / programs
- Extend current collection-sharing models and practices to digital collections
- Scope requirements for effective cross-sectoral collection-sharing: libraries, archives, museums

Priorities from Day 1 Breakout

- New economic / business models
 - Asset valuation - liabilities, risk management
 - Governance - who determines what to collect, how to share; centralized, distributed
 - Ownership - who/what "counts"; fee-based access
 - Property rights - locally created digital content
- Policies and protocols
 - Conditions under which de-accessioning print is sanctioned
 - Conditions of care: collections of record
 - Conditions of access: collections of record
 - Attributes of "best copy" / item validation

Priorities from Day 1 Breakout (cont.)

- Prospective print collection
 - Where electronic doesn't (and won't) exist: area studies, special collections, small-run scholarly publications
 - Back-filling collections of record
 - Meeting faculty/researcher expectations ... until new service model is in place
- Disclosure
 - Item-level condition
 - Access policy
 - Preservation / retention commitments
 - 'Last copy' status
 - Service level guarantees: access, preservation, mgt

Priorities from Day 1 Breakout (cont.)

- Shared infrastructure requirements
 - Collective business intelligence: uniqueness, overlap, aggregate capacity
 - Logistics and delivery services
 - Disclosure mechanisms: scale, gravitational pull
 - Syndication support: diffusion
- *Shared workflows for prospective print acquisitions? e-resource management?*
- *Common repository / publication platforms for locally created content?*
- *Condition/service guarantees and audits for 3rd party content providers?*





Managing the Collective Collection

- Shared Print Collections
- Harmonizing Digitization
- Data-Mining for Management Intelligence
- Collection Sharing Beyond Libraries

Mapping priorities to current investment

Shared Priorities

- Build consensus, pool expertise
- Policies, protocols
- Management intelligence
- Economic, business models
- Extend to digital, special coll'ns,

Current Work

- Collections Summit, Nov 2007
- Shared Print Working Group
- JSTOR Working Group
- Unique print books
- Print archiving white paper
- Last / only copies
- US copyright regimes: mass digitiz'n
- NYARC aggregate coll'n analysis
- [Shared infrastructure req's]
- *Good Terms*
- *Shifting Gears*
- OAI-CAT museum metadata exchange

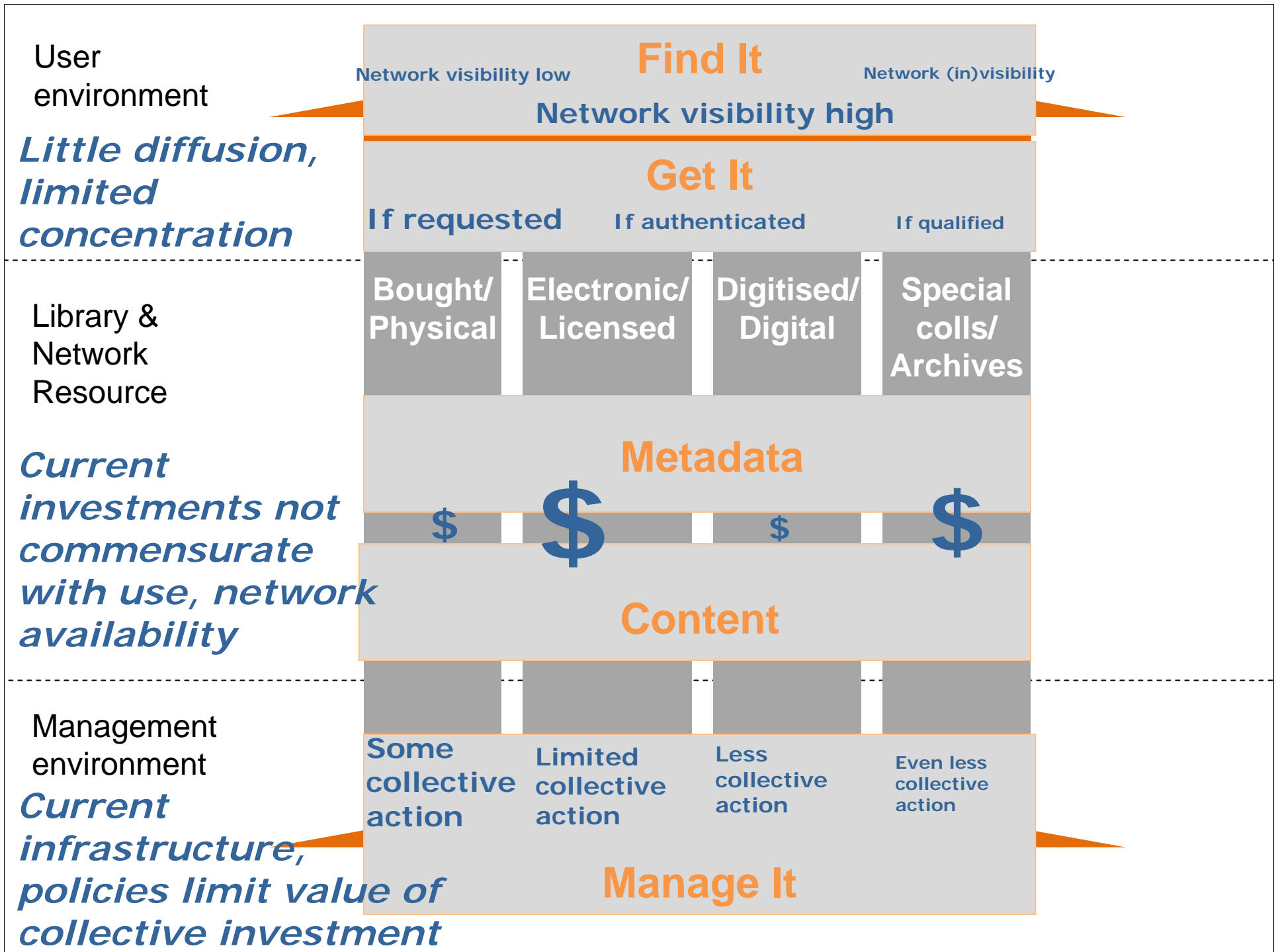


Economics 101: Asset valuation (Courant)

- On the balance sheet, print collections appear as an institutional asset; digital collections appear as a liability
- In reality, digital collections deliver greater value to users; print collections represent a continuing cost with little direct return
- We must recalibrate the university administration's understanding of how collections deliver value to research institutions and users
- Value of collections will be maximized when they are effectively
 - concentrated as a jointly owned and collectively managed asset
 - diffused into the network user environment

Future of Collections: Managing the Portfolio

- Maximizing the value of aggregate investment in research collections
 - Materials - balance expenditures against value in network environment
 - Expertise - manage as an institutional asset
- Legacy collections - leverage value as a shared network asset
 - Requires new business (governance) and economic models
- Prospective collections - eliminate redundant investment
 - Requires better management intelligence: characteristics and behaviors of aggregate collection; acquisition and use profiles
- Deepen and formalize inter-institutional commitments to shaping future environment through joint action
 - Policy requirements, service infrastructure



If I had a Billion Dollars...

- Aggregate ARL investment in library materials = \$1.1B p/a

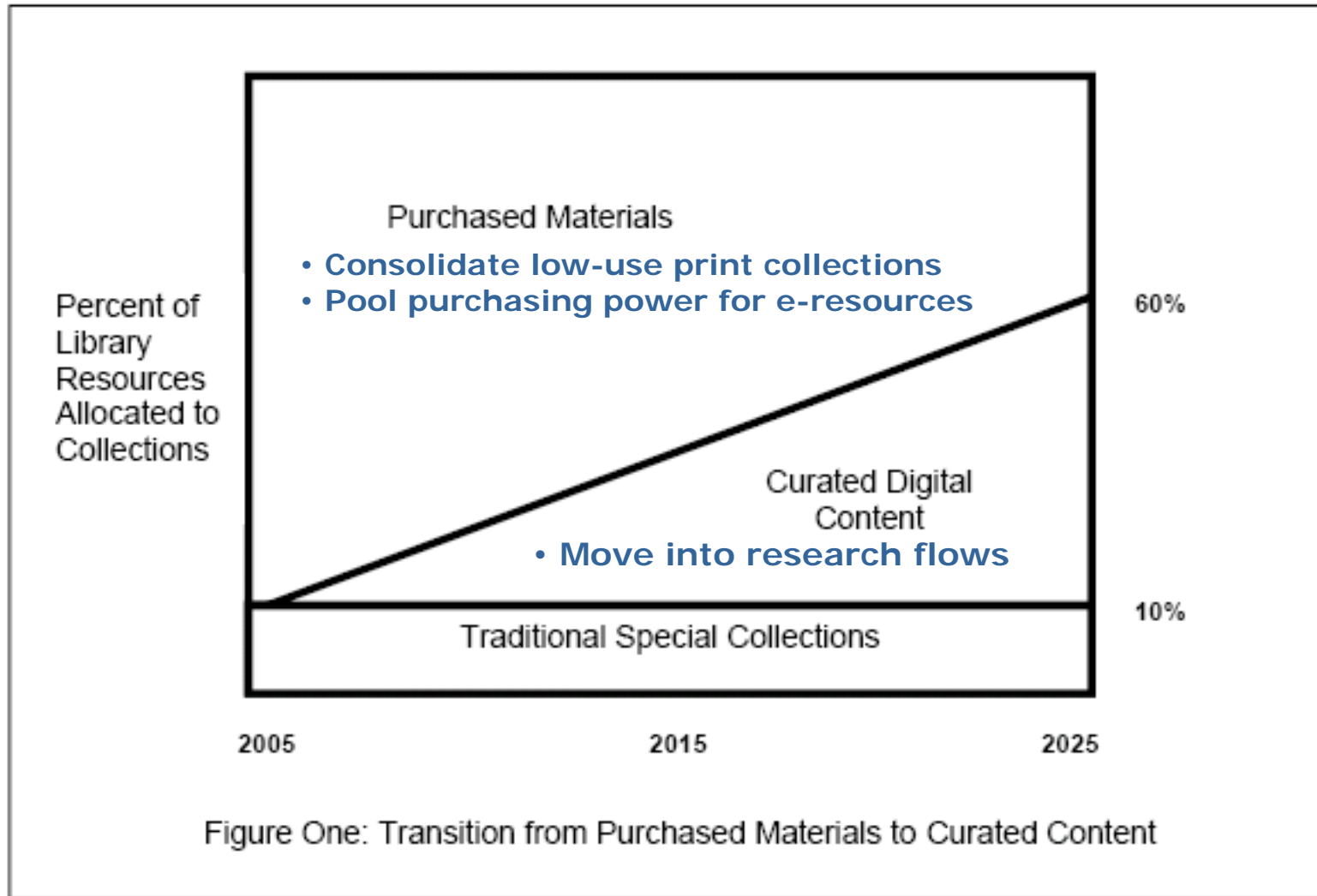
Monographs	\$277 M	<i>limited duplication; low use diminishing investment shareable</i>
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Serials	\$729 M	<i>greater duplication (and use)</i>
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E-resources	\$432 M	<i>increasing investment less shareable (at present) redundant workflows</i>
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- Capital assets? Consumable assets?
- Can we manage these assets and investments collectively?

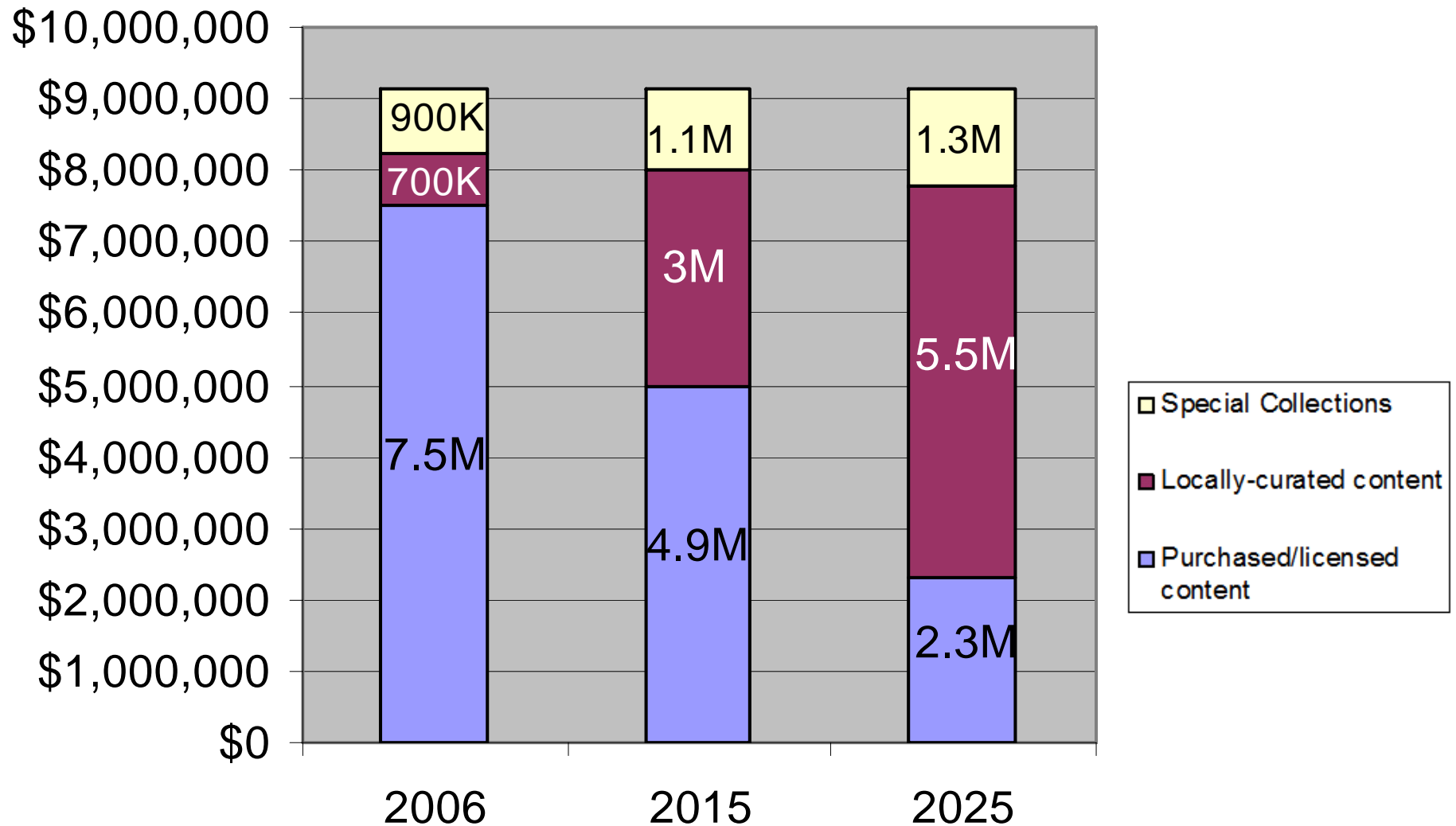
The Future of Research Collections?



David Lewis' "Strategy for Academic Libraries in the 21st Century"

Forecast Re-allocation (2006 Dollars)

Median ARL materials expenditures= \$9.1M (2005-06)



What's next?

- 3-5 priority action items
- Where RLG investment can make distinctive impact
- Where RLG partners are prepared to contribute effort, expertise, experience