

# Topics in Managing Serials in WMS

Daniel Jolley, Mary Thompson, Frank Newton

Dover Memorial Library, Gardner-Webb University,  
Boiling Springs, NC

OCLC WMS Global Community + User Group Meeting

Sept. 27th, 2017, 3:10 p.m.

Dublin, Ohio

# Topics in Managing Serials in WMS

## Daniel Jolley

2017 OCLC WMS Global Community + User Group Meeting  
Dublin, Ohio, USA

September 27, 2017



**GARDNER-WEBB**  
UNIVERSITY

# Gardner-Webb University info

- Located in Boiling Springs, North Carolina
- Founded in 1905 as Boiling Springs High School
- Baptist affiliated
- Over 4,000 students and more than 170 full-time faculty
- Five professional schools, two academic schools and 13 academic departments offer more than 80 undergraduate and graduate major fields of study
- NCAA Division I; member of Big South Conference
- Upset #23 Kentucky at Rupp Arena 84-68 in November 2007

# Issues we faced with Serials Management

- Selecting the wrong OCLC record when creating a new serial
- Validation errors when working with serials records
- Issues display is “upside down,” with the oldest checkins at the top and the newest checkins at the bottom
- Not truly understanding Local Holdings Records or the relationship between 853/863 coded pairs and the 866 field in holdings records
- Not knowing that 866 and 863 fields do not play well together when there is more than one 866 field in the Local Holdings Record



# Sometimes we chose the first print record we found

Search: Keyword = 0020-8132 (All WorldCat)

▼ Hide Facets

Selected Facets

✕ English

▼ Language of Cataloging

☒ English 43

▼ Format

☐ Journal/Magazine 42

☐ eJournal/eMagazine 22

☐ Book 1

☐ PrintBook 1

▼ Year

☐ 1954 28

☐ 1926 2

☐ 1959 1

☐ 1991 1

☐ 1998 1

[Show More](#)

▼ Author

Results 1 - 10 of 43

Sort by Relevance

Rows 10 ▼

	<p><a href="#">International nursing review.</a> by International Council of Nurses.  Periodical, English Publisher: Geneva, International Council of Nurses. Oxford, UK : Blackwell Science Ltd. ISSN#: 0020-8132 Date of Publication and/or Sequential Designation: v. 1- Apr. 1954- Current Publication Frequency: <u>Four no. a year</u>, NLM, NLM   eng   Full, OCLC#: 658877408 <a href="#">Open Desc</a></p>	<p> Not Held by my library <a href="#">28 libraries hold this item</a></p>
	<p><a href="#">International nursing review.</a> by International Council of Nurses.  ePeriodical (digital), English Publisher: Geneva, International Council of Nurses, 1954- ISSN#: 0020-8132 Date of Publication and/or Sequential Designation: Vol. 1 (Apr. 1954)- Current Publication Frequency: <u>Quarterly</u></p>	<p> Not Held by my library <a href="#">6 libraries hold this item</a></p>

There is no publication prediction pattern for the first record

▼ Discover Items

**Search**

Scope  
All WorldCat

Index  
ISSN

Term(s)  
0020-8132

Search Clear

[Advanced Search](#)

✕ Close All Tabs

✕ Search: ISSN = 0020-8132 (All WorldCat)

✕ International nursing review.

► Discover Collections

► Orders

► Serial Issues

International nursing review. (658877408)

► Notes (0)

Receive Issues Manage Issues

No issues exist yet for this publication. Add an issue (below) before receiving.  
Updates will be made available to your institution.

Add Issue

Publication Pattern : **Not Specified** Edit

From to Search

Results 0 - 0 of 0

Enumeration	Chronology
No records found.	

Results 0 - 0 of 0

. . . When the best record was farther down the list  
of search results

	<p> <a href="#">International nursing review : official journal of the International Council of Nurses.</a> by International Council of Nurses.  Periodical, English Publisher: Geneva : The Council ISSN#: 0020-8132 Date of Publication and/or Sequential Designation: <u>Began with: Vol. 1 in Apr. 1954.</u> Current Publication Frequency: <u>Quarterly.</u> DLC, MUL, nsdp   eng   Full, OCLC#: 1753654 <a href="#">Open Details</a></p>	<p> Held by my library <a href="#">688 libraries hold this item</a></p>
--	--	---

# Established publication pattern for International Nursing Review

International nursing review : official journal of the International Council of Nurses. (1753654)

Details WorldCat Holdings Copies (1) Holds (0)  
2 of 2303

Notes (0)

Receive Issues

Manage Issues

Use this screen to receive issue(s) for your institution.

Start Receiving

Status: **Not Receiving**

From  to  Received  ALL

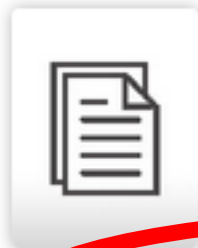
Results 1 - 10 of 18

Rows 10 < 1 2 >

Enumeration	Chronology	First Received (All Libraries)	Last Received (My Library)	Expected Release	Type	Copies Received	Action
v.64 n.3	2017 September			09/11/2017	Basic	0 of 0	<input type="button" value="Receive"/>
v.64 n.2	2017 June	06/26/2017		06/11/2017	Basic	0 of 0	<input type="button" value="Receive"/>
v.64 n.1	2017 March	04/05/2017		03/11/2017	Basic	0 of 0	<input type="button" value="Receive"/>
v.63 n.4	2016 December	02/01/2017		12/11/2016	Basic	0 of 0	<input type="button" value="Receive"/>
v.63 n.3	2016 September	10/06/2016		09/11/2016	Basic	0 of 0	<input type="button" value="Receive"/>

Latest received: 2017 (2<sup>nd</sup>).  
Showing as latest received: 2015 (1<sup>st</sup>).

1. Scottish journal of theology.



 Journal, magazine 1948

Held by: [Gardner-Webb University John R. Dover Memorial Library](#)














Access online



v.68:n.1(2015:1st) Dover Library Periodicals

# We want it to display newest to oldest, not oldest to newest

Dover Library Periodicals		11 of 11 available
Call Number	Availability	
	 v.68:n.1(2015:1st)	
	 v.68:n.2(2015:2nd)	
	 v.68:n.3(2015:3rd)	
	 v.68:n.4(2015:4th)	
	 v.69:n.1(2016:1st)	
	 v.69:n.2(2016:2nd)	
	 v.69:n.4(2016:4th)	
	 v.70:n.1(2017:1st)	
	 v.70:n.2(2017:2nd)	
	 v.22(1969)-v.32(1979);v.34(1981)-v.49(1996);v.51(1998)-v.53(2000)	
Note: Bound.		
	 v.54(2001)-	
Note: Paper.		

Send Feedback



GARDNER-WEBB  
UNIVERSITY

# LHR includes imported holdings info (in 866 fields) from our previous ILS

Edit Local Holdings Record: 183127145

Edit Record Save

014	0		.b10992194 \$b NcBsG
852			NGW \$b NGWW \$c Periodicals
853	3	3	\$8 1 \$a v. \$b n. \$i (year) \$j (quarter)
863			\$8 1.1 \$a 68 \$b 1 \$i 2015 \$j 1
863			\$8 1.2 \$a 68 \$b 2 \$i 2015 \$j 2
863			\$8 1.3 \$a 68 \$b 3 \$i 2015 \$j 3
863			\$8 1.4 \$a 68 \$b 4 \$i 2015 \$j 4
863			\$8 1.5 \$a 69 \$b 1 \$i 2016 \$j 1
863			\$8 1.6 \$a 69 \$b 2 \$i 2016 \$j 2
863			\$8 1.7 \$a 69 \$b 4 \$i 2016 \$j 4
863			\$8 1.8 \$a 70 \$b 1 \$i 2017 \$j 1
863			\$8 1.9 \$a 70 \$b 2 \$i 2017 \$j 2
866		0	\$8 0 \$a v.22(1969)-v.32(1979);v.34(1981)-v.49(1996);v.51(1998)-v.53(2000) \$z Bound.
866		0	\$8 0 \$a v.54(2001)- \$z Paper.

# LHR won't validate if it has 853's and more than one 866

Edit Local Holdings Record: 183127145

Edit Record Save

Unable to validate the local holdings record.  
Found 1 validation error. See details below.

853	3	3	\$8 1 \$a v. \$b p. \$i (year) \$j (quarter)
863			\$8 1.1 \$a 68 \$b 1 \$i 2015 \$j 1
863			\$8 1.2 \$a 68 \$b 2 \$i 2015 \$j 2
863			\$8 1.3 \$a 68 \$b 3 \$i 2015 \$j 3
863			\$8 1.4 \$a 68 \$b 4 \$i 2015 \$j 4
863			\$8 1.5 \$a 69 \$b 1 \$i 2016 \$j 1
863			\$8 1.6 \$a 69 \$b 2 \$i 2016 \$j 2
863			\$8 1.7 \$a 69 \$b 4 \$i 2016 \$j 4
863			\$8 1.8 \$a 70 \$b 1 \$i 2017 \$j 1
863			\$8 1.9 \$a 70 \$b 2 \$i 2017 \$j 2
866		0	\$8 0 \$a v.22(1969)-v.32(1979);v.34(1981)-v.49(1996);v.51(1998)-v.53(2000) \$z Bound.
866		0	\$8 0 \$a v.54(2001)-v.55(2002) \$z Bound.

Duplicate 866 with link value of 0, when record contains 853/863 only one 866 with a link value of 0 can exist.



# Correcting the Validation Problem

- Any LHR with more than one 866 field AND at least one 853/863 coded pair is an invalid LHR.
- No changes can be saved to an invalid LHR.
- To correct the problem, the 866 data and 853/863 coded pair data need to be separated into two LHRs.
- Technically, any LHR with exactly one 866 field and 853/863 coded pairs is valid and does not require separation into separate LHRs.

# Deriving a new LHR from the original LHR

**Record Manager**

**Search**

Data Type  
Bibliographic

Scope  
My Library Holdings

Index  
OCLC Number

Term(s)

1765285

Search Clear

[Advanced Search](#)

Close All Tabs

Search: OCLC Number = 1765285 (My Library Ho...)

Edit MARC 1765285

**Edit Local Holdings Record: 183127145**

Edit Record Save

Record Number  
Date Entered  
Last Updated  
Related WorldCat  
Title  
Summary

Local Holdings Record  
LHR Constant Data  
Reformat  
Validate  
Send to Label Print List  
Move to Different WorldCat Record

Derive

000  
001  
004  
005  
007

ny un  
183127145  
1765285  
20150522131424.0  
zu

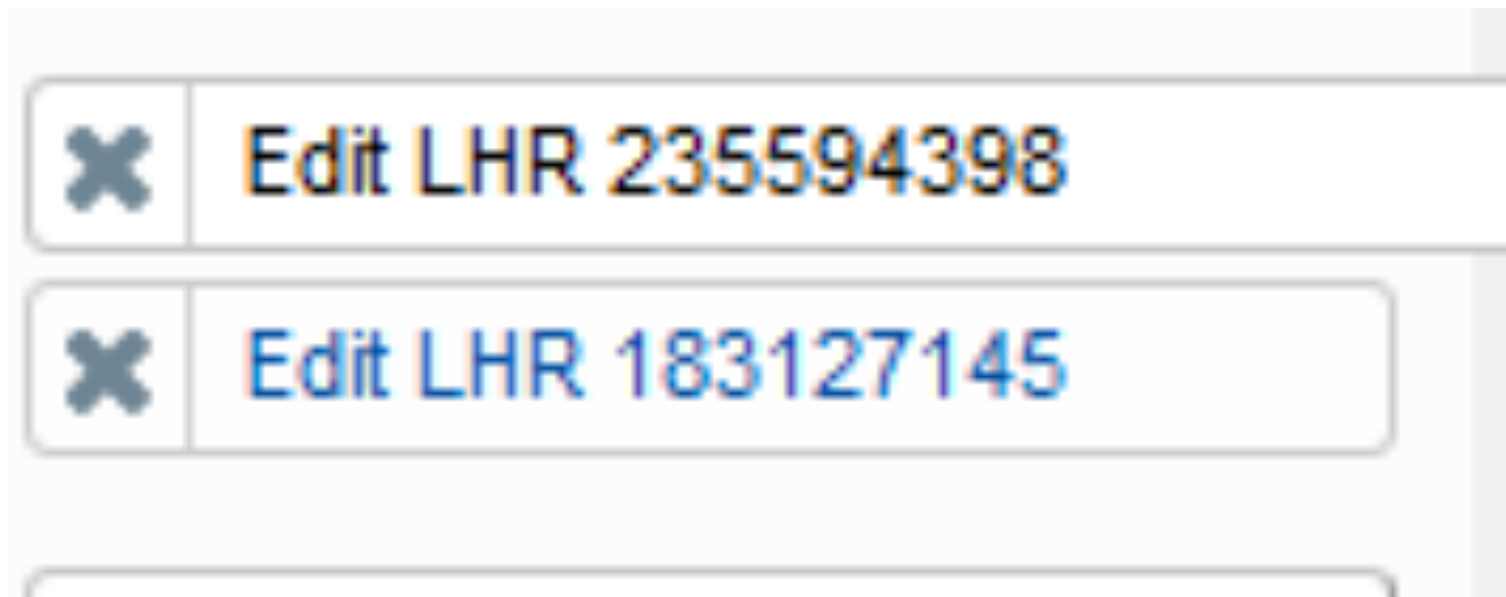
4(1981)-v.49(1996),v.51(1998)-

# In the newly derived LHR, delete the 866 fields

The screenshot shows a library catalog interface. On the left, a sidebar contains a menu with the following items: '1765285 (My Library Ho...', 'Edit MARC 1765285', 'Derive Record' (circled in red), 'LHR 183127145', 'Create WorldCat Record', 'Record Work Lists', 'Label and Export Lists', 'Toolbox', 'Collection Manager', and 'My Files'. The main area displays a list of records. The first record is highlighted with a blue background and contains the text '.b10992194 \$b NcBsG'. Below it, there are several records with field numbers 852, 853, 863, and 866. The 866 fields at the bottom of the list are highlighted with two red arrows pointing to them. The 866 fields contain the following text: '\$8 0 \$a v.22(1969)-v.32(1979);v.34(1981)-v.49(1996);v.51(1998)-v.53(2000) \$z Bound.' and '\$8 0 \$a v.54(2001)- \$z Paper.'

Field Number	Subfield 1	Subfield 2	Subfield 3	Text
014	0			.b10992194 \$b NcBsG
852				NGW \$b NGWW \$c Periodicals
853	3	3		\$8 1 \$a v. \$b n. \$i (year) \$j (quarter)
863				\$8 1.1 \$a 68 \$b 1 \$i 2015 \$j 1
863				\$8 1.2 \$a 68 \$b 2 \$i 2015 \$j 2
863				\$8 1.3 \$a 68 \$b 3 \$i 2015 \$j 3
863				\$8 1.4 \$a 68 \$b 4 \$i 2015 \$j 4
863				\$8 1.5 \$a 69 \$b 1 \$i 2016 \$j 1
863				\$8 1.6 \$a 69 \$b 2 \$i 2016 \$j 2
863				\$8 1.7 \$a 69 \$b 4 \$i 2016 \$j 4
863				\$8 1.8 \$a 70 \$b 1 \$i 2017 \$j 1
863				\$8 1.9 \$a 70 \$b 2 \$i 2017 \$j 2
866		0		\$8 0 \$a v.22(1969)-v.32(1979);v.34(1981)-v.49(1996);v.51(1998)-v.53(2000) \$z Bound.
866		0		\$8 0 \$a v.54(2001)- \$z Paper.

After saving, the new LHR will have a higher number than the original LHR



# On the original LHR, delete the 853's and 863's

**Edit Local Holdings Record: 183127145**

Buttons: Edit, Record, Save, Settings

**Last Updated:** 20170522  
**Related WorldCat Record:** [1765285](#)  
**Title:** Scottish journal of theology.  
**Summary:** v.22(1969)-v.32(1979),v.34(1981)-v.49(1996),v.51(1998)-

Barcode	Call Number	Location
000		ny un
001		183127145
004		1765285
005		20150522131424.0
007		zu
008		1505220u 8 0001uaund0150522
014	0	.b10992194 \$b NcBsG
852		NGW \$b NGWW \$c Periodicals
866		\$8 0 \$a v.22(1969)-v.32(1979);v.34(1981)-v.49(1996);v.51(1998)-v.53(2000) \$z Bound
866		\$8 0 \$a v.54(2001)- \$z Paper

# The title record now displays 2 LHR's

Summary

(55/9999)

v.22(1969)-v.32(1979),v.34(1981)-v.49(1996),v.51(1998)-





Save

Build Automated Summary

You can only select up to 10 local holdings records to move to a different WorldCat record.

Results 1 - 2 of 2












Rows 10

	Call Number	Barcode	Shared Print	Branch	Shelving Location	Lending Policy	Action
<input type="checkbox"/>				NGWW	Periodicals	Will Not Lend	 
<input type="checkbox"/>				NGWW	Periodicals	Will Not Lend	 

Results 1 - 2 of 2

Rows 10

# Display in WorldCat Discovery remains unchanged

Dover Library Periodicals		11 of 11
Call Number	Availability	
	 v.68:n.1(2015:1st)	
	 v.68:n.2(2015:2nd)	
	 v.68:n.3(2015:3rd)	
	 v.68:n.4(2015:4th)	
	 v.69:n.1(2016:1st)	
	 v.69:n.2(2016:2nd)	
	 v.69:n.4(2016:4th)	
	 v.70:n.1(2017:1st)	
	 v.70:n.2(2017:2nd)	
	 v.22(1969)-v.32(1979);v.34(1981)-v.49(1996);v.51(1998)-v.53(2000)	
<i>Note: Bound.</i>		
	 v.54(2001)-	
<i>Note: Paper.</i>		

In the LHR with the 853/863 coded pairs, use the arrow keys to arrange 863's from newest to oldest

↑ ↓	852	<input type="checkbox"/>	<input type="checkbox"/>	▶	NGW <u>\$b</u> NGWW <u>\$c</u> Periodicals
↑ ↓	853	3	3	▶	<u>\$8</u> 1 <u>\$a</u> v. <u>\$b</u> n. <u>\$i</u> (year) <u>\$j</u> (quarter)
↑ ↓	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.9 <u>\$a</u> 70 <u>\$b</u> 2 <u>\$i</u> 2017 <u>\$j</u> 2
↑ ↓	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.8 <u>\$a</u> 70 <u>\$b</u> 1 <u>\$i</u> 2017 <u>\$j</u> 1
↑ ↓	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.7 <u>\$a</u> 69 <u>\$b</u> 4 <u>\$i</u> 2016 <u>\$j</u> 4
↑ ↓	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.6 <u>\$a</u> 69 <u>\$b</u> 2 <u>\$i</u> 2016 <u>\$j</u> 2
↑ ↓	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.5 <u>\$a</u> 69 <u>\$b</u> 1 <u>\$i</u> 2016 <u>\$j</u> 1
↑ ↓	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.4 <u>\$a</u> 68 <u>\$b</u> 4 <u>\$i</u> 2015 <u>\$j</u> 4
↑ ↓	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.3 <u>\$a</u> 68 <u>\$b</u> 3 <u>\$i</u> 2015 <u>\$j</u> 3
↑ ↓	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.2 <u>\$a</u> 68 <u>\$b</u> 2 <u>\$i</u> 2015 <u>\$j</u> 2
↑	863	<input type="checkbox"/>	<input type="checkbox"/>	▶	<u>\$8</u> 1.1 <u>\$a</u> 68 <u>\$b</u> 1 <u>\$i</u> 2015 <u>\$j</u> 1














# Manually move 866's to put newest on top

OCLC Number ▼  
Term(s) ?  
1765285  
Search Clear  
[Advanced Search](#)  
✕ Close All Tabs  
✕ Search: OCLC Number = 1765285 (My Library Ho...  
✕ Edit MARC 1765285  
✕ Edit LHR 235594398  
✕ Edit LHR 183127145  
Create WorldCat Record  
Record Work Lists  
Label and Export Lists  
Toolbox

Related WorldCat record [1765285](#)  
Title Scottish journal of theology.  
Summary v.22(1969)-v.32(1979),v.34(1981)-v.49(1996),v.51(1998)-

000				nx un
001				183127145
004				1765285
005				20150522131424.0
007				zu
008				1505220u 8 0001uaund0150522
↓	014	0		.b10992194 \$b NcBsG
↑	852			NCM \$d NGWW \$c Periodicals
↑	866		0	\$8 0 \$a v.22(1969)-v.32(1979),v.34(1981)-v.49(1996),v.51(1998)-v.53(2000) \$z Bound.
↑	866		0	\$8 0 \$a v.54(2001)- \$z Paper.

# Holdings now display the way we want them to in Discovery

Dover Library Periodicals		11 of 11
Call Number	Availability	
	 v.70:n.2(2017:2nd)	
	 v.70:n.1(2017:1st)	
	 v.69:n.4(2016:4th)	
	 v.69:n.2(2016:2nd)	
	 v.69:n.1(2016:1st)	
	 v.68:n.4(2015:4th)	
	 v.68:n.3(2015:3rd)	
	 v.68:n.2(2015:2nd)	
	 v.68:n.1(2015:1st)	
	 v.22(1969)-v.32(1979);v.34(1981)-v.49(1996);v.51(1998)-v.53(2000)	
Note: Bound.		
	 v.54(2001)-	
Note: Paper.		

# And search results now display the most recently received issue!

1. [Scottish journal of theology.](#)



Journal, magazine 1948

Held by: [Gardner-Webb University John R. Dover Memorial Library](#)



Access online



[v.70:n.2\(2017:2nd\)](#)

Dover Library Periodicals

## Are We Done Yet?

- When receiving new issues, you need to make sure you are selecting the LHR with the 863 fields.
- If you receive an issue on an LHR with an 866 field, it will add 853 and 863 fields alongside the 866
- When you receive a new issue, the newly generated 863 field will always go to the bottom rather than the top of your list of 863 fields in the LHR, which means you have to manually move it to the top of that list – **every single time you receive an issue.**

# After clicking on “Receive Issues,” you have to choose the appropriate LHR

Receive Issues

You are adding the following issue to your holdings:

**v.70 n.4**

☐ Show only currently received copies

Results 1 - 2 of 2

1

Branch	Shelving Location	Call Number	Barcode	Received	Date Received
NGWW	Periodicals		<input type="text"/>	<input type="checkbox"/>	
NGWW	Periodicals		<input type="text"/>	<input type="checkbox"/>	

Results 1 - 2 of 2

1

Save

Cancel

# Click on Copies to distinguish between the LHRs

Scottish journal of theology. (1765285)

Details WorldCat Holdings **Copies (2)** Holdings (0) Issues

2 of 16 < >

[Add Copy](#) [Holdings View](#) [Copies View](#)

## ▼ Copies

[Refresh](#) Results 1 - 2 of 2

First Previous **1** Next Last

Branch	Call Number	Number Of Holdings	Action
Dover Library		2	<a href="#">View/Edit</a> <a href="#">Receive Item</a>
Dover Library		9	<a href="#">View/Edit</a> <a href="#">Receive Item</a>

[Refresh](#) Results 1 - 2 of 2

First Previous **1** Next Last

## ▼ Holdings For Selected Copy

[Refresh](#) Results 1 - 9 of 9

First Previous **1** Next Last

Description	Shelving Location	Barcode #	Category	Action
v. 68, n. 1 2015, 1	Periodicals		Basic	<a href="#">View/Edit</a>
v. 68, n. 2 2015, 2	Periodicals		Basic	<a href="#">View/Edit</a>
v. 68, n. 3 2015, 3	Periodicals		Basic	<a href="#">View/Edit</a>
v. 68, n. 4 2015, 4	Periodicals		Basic	<a href="#">View/Edit</a>
v. 69, n. 1 2016, 1	Periodicals		Basic	<a href="#">View/Edit</a>
v. 69, n. 2 2016, 2	Periodicals		Basic	<a href="#">View/Edit</a>
v. 69, n. 4 2016, 4	Periodicals		Basic	<a href="#">View/Edit</a>

# Click on Issues to return to the Receive screen and choose the appropriate LHR

an Analytics Admin

Details WorldCat Holdings Copies (2) Holds (0) **Issues** 2 of 6 < >

Receive Issues

You are adding the following issue to your holdings:

**v.70 n.4**

☐ Show only currently received copies

Results 1 - 2 of 2

Branch	Shelving Location	Call Number	Barcode	Received	Date Received
NGWW	Periodicals			<input type="checkbox"/>	
NGWW	Periodicals			<input checked="" type="checkbox"/>	

Results 1 - 2 of 2

Save Cancel

Rows 10 < > 1 2 3 4 5

Type	Copies Received	Action
Basic	0 of 1	Receive
Basic	0 of 1	Receive
Basic	1 of 1	Unreceive

# Back in Record Manager, select the LHR with the 863 fields - it tends to be the first one

You can only select up to 10 local holdings records to move to a different WorldCat record.

Results 1 - 2 of 2

Rows 10 ▼

	Call Number	Barcode	Shared Print	Branch	Shelving Location	Lending Policy	Action
<input type="checkbox"/>				NGWW	Periodicals	Unknown	
<input type="checkbox"/>				NGWW	Periodicals	Unknown	

Results 1 - 2 of 2

Rows 10 ▼




You will need to move the newly created 863 field from the bottom to the top of the 863's

Edit Record Save

008 1709210u 8 0001uaund0170921

014	0		.b10992194 \$b NcBsG
852			NGW \$b NGWW \$c Periodicals
853	3	3	\$8 1 \$a v. \$b n. \$i (year) \$j (quarter)
863			\$8 1.9 \$a 70 \$b 2 \$i 2017 \$j 2
863			\$8 1.8 \$a 70 \$b 1 \$i 2017 \$j 1
863			\$8 1.7 \$a 69 \$b 4 \$i 2016 \$j 4
863			\$8 1.6 \$a 69 \$b 2 \$i 2016 \$j 2
863			\$8 1.5 \$a 69 \$b 1 \$i 2016 \$j 1
863			\$8 1.4 \$a 68 \$b 4 \$i 2015 \$j 4
863			\$8 1.3 \$a 68 \$b 3 \$i 2015 \$j 3
863			\$8 1.2 \$a 68 \$b 2 \$i 2015 \$j 2
863			\$8 1.1 \$a 68 \$b 1 \$i 2015 \$j 1
863			\$8 1.10 \$a 70 \$b 4 \$i 2017 \$j 4



# That's a lot of work for every received issue

- There are two enhancement requests in the Community Center that would allow the individual library to customize the sort order of 863/866 fields in LHRs
- Prioritize Locations within LHRs to produce better Discovery results:  
[https://www.oclc.org/community/enhancements/user\\_forms/prioritize-locations-within-lhrs-to-produce-better-discovery-results.en.html](https://www.oclc.org/community/enhancements/user_forms/prioritize-locations-within-lhrs-to-produce-better-discovery-results.en.html)
- Sorting LHR 863/866 fields ascending/descending:  
[https://www.oclc.org/community/enhancements/user\\_forms/sorting-lhr-863866-fields-ascendingdescending.en.html](https://www.oclc.org/community/enhancements/user_forms/sorting-lhr-863866-fields-ascendingdescending.en.html)

# Topics in Managing Serials in WMS

Mary Steele Thompson

2017 OCLC WMS Global Community + User Group Meeting  
Dublin, Ohio, USA

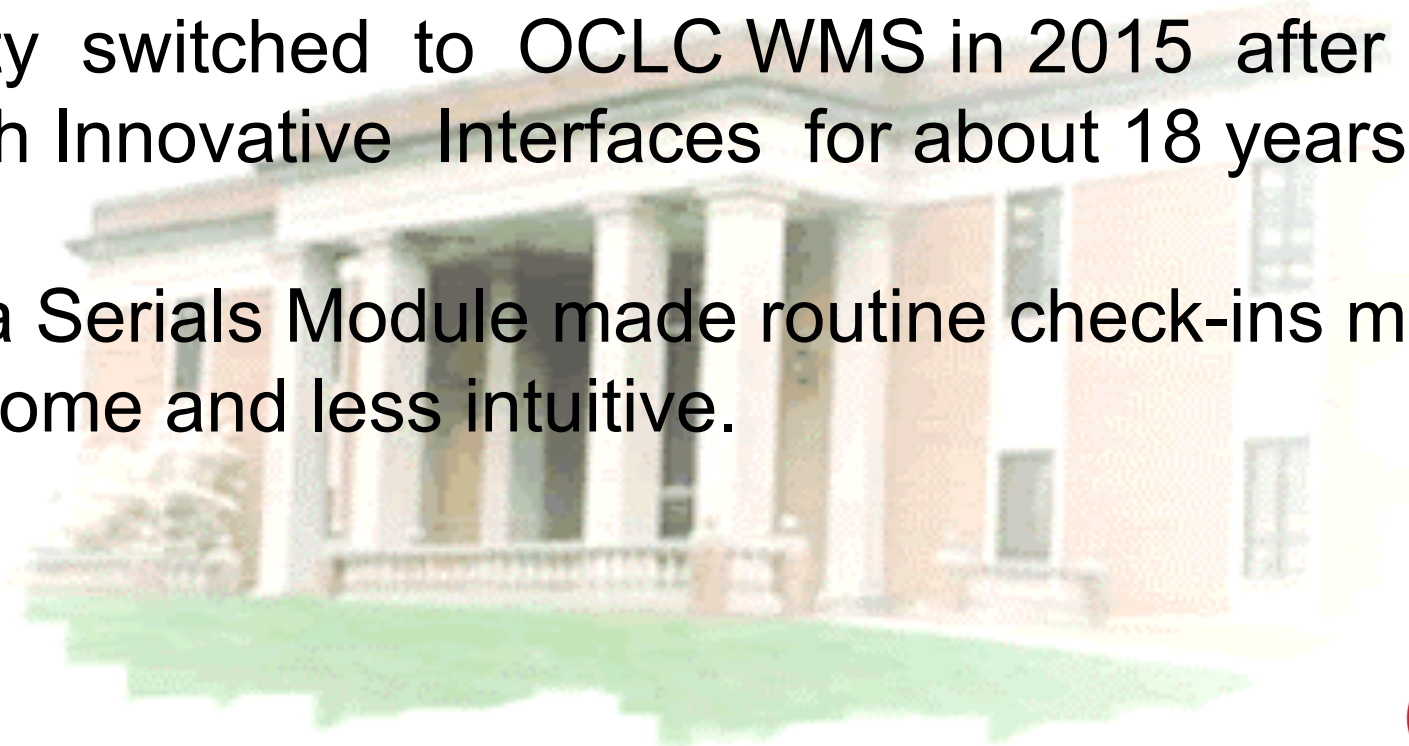
September 27, 2017



GARDNER-WEBB  
UNIVERSITY

# Background - Switching to WMS: Our Learning Curve

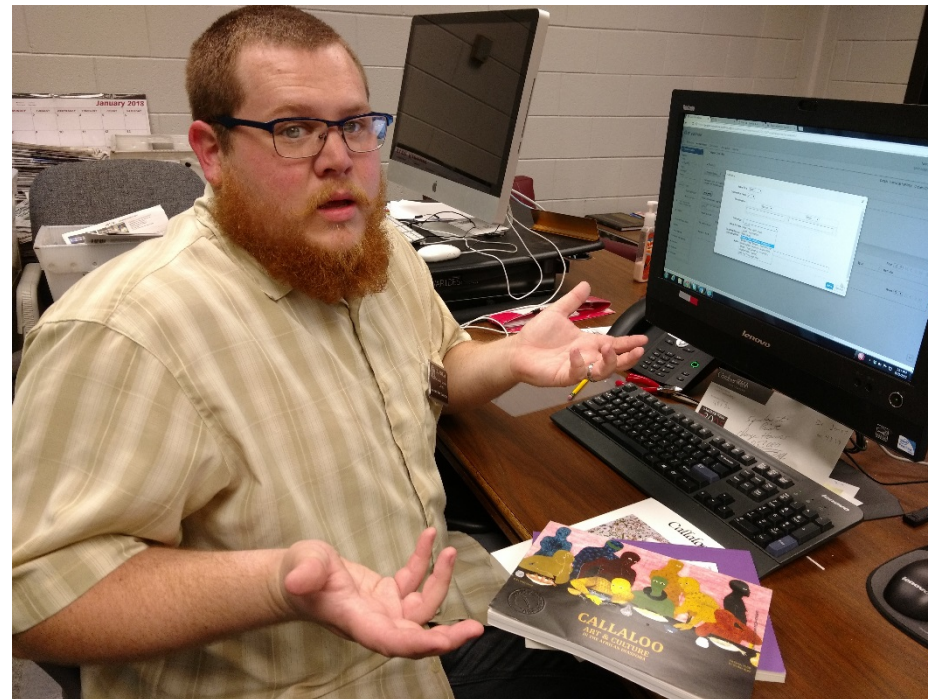
- The John R. Dover Memorial Library at Gardner-Webb University switched to OCLC WMS in 2015 after having been with Innovative Interfaces for about 18 years.
- Loss of a Serials Module made routine check-ins more cumbersome and less intuitive.



- Initially, the Library resorted to checking in daily/weekly items on cards/Excel spreadsheet, since there was no provision to work with ranges, especially for removal; however, this method made it harder to maintain accountability.
- Fixes required more cataloging knowledge in WMS, a skill not essential for the Periodicals Assistant to have previously.

# Let's Look at an Example.

What can you do when a serial arrives, and there is no provision in the default settings for its frequency?



CJ Murray  
Periodicals Assistant



# Callaloo: A Journal of African Diaspora Arts and Letters

General

Title Name: Callaloo  
Title Notes: Project Muse/ For Institutions  
Title Number: 170-889-992  
Frequency: Bimonthly-5 Or 6 Per Year (Every 2 Months)  
Format: Print + Online  
Language: English  
Country: United States  
Publisher Name: JOHNS HOPKINS UNIV PRESS  
ISSN: 0161-2492  
Status: Active  
Open Access Title: No

Orders

[2017](#)  
[2016](#)  
[2015](#)  
[2014](#)  
[2013](#)

Publisher Terms and Conditions

Order Policy: Calendar year only. One year only. Any odd terms must have common expiration.  
Cancellation Policy: Cancellations are accepted and refunds for non-mailed issues will be done on a pro rata basis of tax exempt certificate\*\*\*\*  
Claiming Policy: Claims must be made within 3 mos

Issues Per Year: 5  
Volumes/year: 1  
Volume: 40  
Volume start: 02/2017  
Published: FEB MAY AUG OCT NOV



Frequency:  
5 issues  
per year

# Chronology Defaults in WMS

08) Det

**Add Issue** [X]

**Issue Type** Basic [v]

**Enumeration Level** 2 [v]

**Enumeration**

Volume [v]	Issue [v]

**Chronology\*** [Choose...]

**Issue Preview**

**Expected Release (Shipping) Date\***

**Notes**

Save Cancel

for this p  
de avail  
: Not Sp  
Enun  
Ty



# *Local Holdings Format and Standards at* <http://www.oclc.org/holdingsformat/en.html>.

OCCLC<sup>®</sup>  
Support & Training

8xx Fields

- 842 Textual Physical Form Designator
- 843 Reproduction Note
- 844 Name of Unit
- 845 Terms Governing Use and Reproduction Note
- 852 Location
- 853 Captions and Pattern-Basic Bibliographic Unit**
- 853-876 Holdings Data-General Information
- 854 Captions and Pattern-Supplementary Material
- 855 Captions and Pattern-Indexes
- 856 Electronic Location and Access
- 863 Enumeration and Chronology-Basic Bibliographic Unit**
- 864 Enumeration and

Support & Training home · Local Holdings Format and Standards · 8xx Fields · 853 Captions and Pattern-Basic Bibliographic Unit

## 853 Captions and Pattern-Basic Bibliographic Unit (R)

Contents Leader 0xx 3xx 5xx 8xx

First Indicator  
[Compressibility and expandability](#)

- 0 Cannot compress or expand
- 1 Can compress but not expand
- 2 Can compress or expand
- 3 Unknown

Second Indicator  
[Caption evaluation](#)

- 0 Captions verified; all levels present
- 1 Captions verified; all levels may not be present
- 2 Captions unverified; all levels present
- 3 Captions unverified; all levels may not be present

Subfield Codes

- [#a-#h](#) Enumeration captions
- [#a](#) First level of enumeration (NR)
- [#b](#) Second level of enumeration (NR)
- [#c](#) Third level of enumeration (NR)
- [#d](#) Fourth level of enumeration (NR)
- [#g](#) Alternative numbering scheme, first level of enumeration (NR)
- [#h](#) Alternative numbering scheme, second level of enumeration (NR)
- [#i-#m](#) Chronology captions
- [#i](#) First level of chronology (NR)

# *Local Holdings Format and Standards at* <http://www.oclc.org/holdingsformat/en.html> .

OCLC<sup>®</sup>  
Support & Training

Support & Training home · Local Holdings Format and Standards · 8xx Fields · 863 Enumeration and Chronology-Basic Bibliographic Unit

## 863 Enumeration and Chronology-Basic Bibliographic Unit (R)

Contents Leader 0xx 3xx 5xx 8xx

**First Indicator**  
**Field encoding level**

- ⌀ No information provided
- 3 Holdings level 3
- 4 Holdings level 4
- 5 Holdings level 4 with piece designation

**Second Indicator**  
**Form of holdings**

- ⌀ No information provided
- 0 Compressed
- 1 Uncompressed
- 2 Compressed, use textual display
- 3 Uncompressed, use textual display
- 4 Item(s) not published

**Subfield Codes**

- ⌚a-⌚h Enumeration
- ⌚a First level of enumeration (NR)
- ⌚b Second level of enumeration (NR)
- ⌚c Third level of enumeration (NR)
- ⌚d Fourth level of enumeration (NR)
- ⌚g Alternative numbering scheme, first level of enumeration (NR)
- ⌚h Alternative numbering scheme, second level of enumeration (NR)
- ⌚i-⌚m Chronology
- ⌚i First level of chronology (NR)

**8xx Fields**

- 842 Textual Physical Form Designator
- 843 Reproduction Note
- 844 Name of Unit
- 845 Terms Governing Use and Reproduction Note
- 852 Location
- 853 Captions and Pattern-Basic Bibliographic Unit
- 853-878 Holdings Data-General Information
- 854 Captions and Pattern-Supplementary Material
- 855 Captions and Pattern-Indexes
- 856 Electronic Location and Access
- 863 Enumeration and Chronology-Basic Bibliographic Unit
- 864 Enumeration and

# Local Holdings Record Fields

- *Captions and Publication Patterns*
- 853 Captions and publication patterns for basic bibliographic units  
853 3 3 \$8 1 \$a v. \$b i. \$i (year)  
853 3 3 \$8 2 \$a v. \$b i. \$i (year) \$j (season)
- 854 Captions and publication patterns for supplements
- 855 Captions and publication patterns for indexes

See:

OC LC. *Local Holdings Format and Standards.*

<https://www.oclc.org/holdingsformat/en.html>

# Local Holdings Record Fields

- *Enumeration and Chronology*

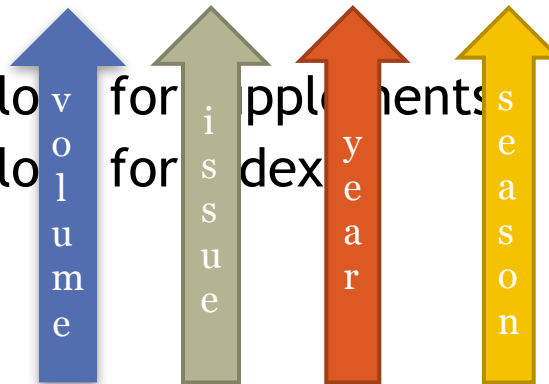
- 863 Enumeration and chronology for basic bibliographic units

863 00 \$8 1.1 \$a 38 \$b 1 \$i 2015

863 00 \$8 2.1 \$a 38 \$b 2 \$i 2015 \$j 21

- 864 Enumeration and chronology for supplements

- 865 Enumeration and chronology for indexes



See:

OCLC. *Local Holdings Format and Standards*.

<https://www.oclc.org/holdingsformat/en.html>

# Creating Additional 853/863 Fields

	005			20150522131424.0
	007			cr
	008			1706190u 8 0001uaund0170619
↓	014	0		.b12303549 \$b NcBsG
↑	852			NGW \$b NGWW \$c Periodicals
↓	853	3	3	\$8 1 \$a v. \$b i. \$i (year)
	853	3	3	\$8 2 \$a v. \$b i. \$i (year) \$j (season)
↑	863			\$8 2.7 \$a 39 \$b 3 \$i 2016 \$j 23
↑	863			\$8 2.6 \$a 39 \$b 2 \$i 2016 \$j 22
↑	863			\$8 2.5 \$a 39 \$b 1 \$i 2016 \$j 21
↑	863			\$8 2.4 \$a 38 \$b 5 \$i 2015 \$j 24
↑	863			\$8 1.1 \$a 38 \$b 4 \$i 2015
↑	863			\$8 2.3 \$a 38 \$b 3 \$i 2015 \$j 23
↑	863			\$8 2.2 \$a 38 \$b 2 \$i 2015 \$j 22
↑	863			\$8 2.1 \$a 38 \$b 1 \$i 2015 \$j 21

Annual Art Issue →

4 Seasonal Issues →

Need: two 853 fields -  
1 for the annual volume & 1 for  
the 4 seasonal volumes

# Creating Additional 853/863 Fields

	005			20150522131424.0
	007			cr
	008			1706190u 8 0001uaund0170619
	014	0		.b12303549 \$b NcBsG
	852			NGW \$b NGWW \$c Periodicals
Annual Art Issue	853	3	3	\$8 1 \$a v. \$b i. \$i (year)
	853	3	3	\$8 2 \$a v. \$b i. \$i (year) \$j (season)
	863			\$8 2.7 \$a 39 \$b 3 \$i 2016 \$j 23
	863			\$8 2.6 \$a 39 \$b 2 \$i 2016 \$j 22
	863			\$8 2.5 \$a 39 \$b 1 \$i 2016 \$j 21
	863			\$8 2.4 \$a 38 \$b 5 \$i 2015 \$j 24
The 863 subfields beginning with a 1 match 853 #1.	863			\$8 1.1 \$a 38 \$b 4 \$i 2015
	863			\$8 2.3 \$a 38 \$b 3 \$i 2015 \$j 23
	863			\$8 2.2 \$a 38 \$b 2 \$i 2015 \$j 22
	863			\$8 2.1 \$a 38 \$b 1 \$i 2015 \$j 21

4 Seasonal Issues

The 863 subfields beginning with a 2 match 853 #2.

# How It Looks in the Catalog

GARDNER-WEBB  
UNIVERSITY

no:3880433

Library Links

Sig

Advanced Search

View Filters


John R. Dover Memorial Library

Course Reserves

Chat with a librarian

My

1. [Callaloo.](#)

 by [University of Virginia.](#)

Journal, magazine ©1976-

"A Black South journal of arts and letters."  
Some special issues devoted to the literatures of other minorities.

Held by: [Gardner-Webb University John R. Dover Memorial Library](#)

Access online

v.39:i.3(2016:Autumn)

Dover Library Periodicals

Language:

[Čeština](#) • [Deutsch](#) • [English](#) • [Español](#) • [Français](#) • [Italiano](#) • [Māori](#) • [Nederlands](#) • [Português](#) • [Svenska](#) • [日本語](#) • [한국어](#) • [中文\(简体\)](#) • [中文\(繁體\)](#) • [ภาษาไทย](#)

Copyright © 2001-2017 OCLC. All rights reserved.

[Privacy Policy](#)

[Terms and Conditions](#)

REL-1.188.2-104

9f65b015-e09e-49fd-8278-1b5b1bf09ba9

Gardner-Webb University John R. Dover Memorial Library

Holding Summary

v.33(2010):1-

Dover Library Periodicals

9 of 9 available

Call Number	Availability
	<div></div> v.39:i.3(2016:Autumn)
	<div></div> v.39:i.2(2016:Summer)
	<div></div> v.39:i.1(2016:Spring)
	<div></div> v.38:i.5(2015:Winter)
	<div></div> v.38:i.4(2015)
	<div></div> v.38:i.3(2015:Autumn)
	<div></div> v.38:i.2(2015:Summer)
	<div></div> v.38:i.1(2015:Spring)
	<div></div> v.33(2010):1-

Note: Paper.

Libraries Worldwide

 GARDNER-WEBB  
UNIVERSITY 501 Lib



# Further comments

Please send comments or suggestions to:



GARDNER-WEBB  
UNIVERSITY

**Mary S. Thompson**

Theological Librarian

[mthompson@gardner-webb.edu](mailto:mthompson@gardner-webb.edu)

P (704) 406-4294

P.O. BOX 836 | BOILING SPRINGS, NC 28017



GARDNER-WEBB  
UNIVERSITY



# Topics in Managing Serials in WMS

## Frank Newton

2017 OCLC WMS Global Community + User Group Meeting  
Dublin, Ohio, USA

September 27, 2017



GARDNER-WEBB  
UNIVERSITY

# Studying Up on Local Holdings Records: the Analogy of the Spelling Book

# Online resources for local holdings records which are more like dictionaries

- Library of Congress (2016). *MARC 21 Format for Holdings Data*. 2000 Edition with updates through update no. 23 (November 2016). <https://www.loc.gov/marc/holdings/>
- National Information Standards Organization (2006). *Holdings Statements for Bibliographic Items = ANSI / NISO Z39.71-2006*. Oct. 6th, 2006.  
[http://www.niso.org/apps/group\\_public/download.php/6475/Holdings%20Statements%20for%20Bibliographic%20Items.pdf](http://www.niso.org/apps/group_public/download.php/6475/Holdings%20Statements%20for%20Bibliographic%20Items.pdf)
- OCLC. *Local Holdings Format and Standards*.  
<https://www.oclc.org/holdingsformat/en.html>

# Online resources which take you step by step

- OCLC. *Serials Issues Management*. HTML or MP4 tutorial. <https://www.oclc.org/support/training/portfolios/library-management/wms/acquisitions/tutorials/serials-issue-management.en.html>  
Highly recommended; closest to a spelling book of the resources I have seen. Best watched more than once. Analyzes the workflow for the check-in for a new periodical subscription into five steps.
- OCLC. *Create a Publication Pattern and Start Receiving Serials Issues*. HTML or MP4 tutorial. <https://www.oclc.org/support/training/portfolios/library-management/wms/acquisitions/tutorials/serials-pub-pattern.en.html>  
Follows up on *Serials Issues Management* and covers some of the same ground.
- Library of Congress (2011). *Understanding MARC Holdings Records*. 1st edition, 2011. <http://www.loc.gov/marc/umh/>
- OCLC (2008). *A Holdings Primer: Principles & Standards for Local Holdings Records*. 2nd ed. (2008). <https://www.oclc.org/content/dam/support/local-holdings/documentation/primer/Holdings%20Primer%202008.pdf>

# Automated Check-in of Serials in WMS

# From OCLC's Serials Issues Management tutorial:

## (a) A linear view of the workflow

### Serials Issues Management Tasks

- **Select bibliographic record and Add copy**
- **Manage issues (add issues metadata)**
- **Start receiving**
- **Set publication pattern**
- **Receive issues**

From OCLC's Serials Issues Management tutorial:  
(b) Actions done from the "Manage Issues" tab vs.  
actions done from the "Receive Issues" tab

## Serials Issues Management Workflow

### Institution actions

### Global actions

#### Select bibliographic record and Add copy

- Location and call number

#### Manage issues

- Add issues metadata (captions, values)

#### Receive Issues

- Start Receiving (set # of copies and starting volume / issue)
- Receive issues

#### Set Publication Pattern

# From OCLC's Serials Issues Management tutorial: (c) The “Receive Issues” tab & the “Manage Issues” tab

## Serials Issues Management

The screenshot displays the OCLC WorldShare Serials Issues Management interface. The 'Receive Issues' and 'Manage Issues' tabs are circled in red. The interface shows a search for ISSN 1525-4011 and a table of issues to be received.

**Search Results:**

- Search: ISSN = 1525-4011 (All WorldCat)
- The Charleston advisor : critical reviews of web products for information professionals. (41542348)

**Buttons:** Receive Issues, Manage Issues, Start Receiving

**Status:** Not Receiving

**Table:**

Enumeration	Chronology	First Received	Expected Release Date	Type	Copies Received	Action
v.16 n.4	2015 April	08/14/2015	03/25/2015	Basic	0 of 0	Receive
v.16 n.3	2015 January	08/14/2015	12/24/2014	Basic	0 of 0	Receive
v.16 n.2	2014 October	08/14/2015	10/01/2014	Basic	0 of 0	Receive
v.16 n.1	2014 July	08/14/2015	07/01/2014	Basic	0 of 0	Receive
v.15 n.4	2014 April	08/11/2015	04/01/2014	Basic	0 of 0	Receive



# A backward buildup drill in language learning

- (part 4) . . . for dinner.
- (parts 3 & 4) . . . to buy meat and vegetables for dinner.
- (parts 2, 3, & 4) . . . to the supermarket to buy meat and vegetables for dinner.
- (parts 1, 2, 3, & 4) We're going to the supermarket to buy meat and vegetables for dinner.

-- Example from

<https://talktime.wordpress.com/2007/06/12/backward-buildup-2/>,  
with credit to *I Speak English*, by Ruth J. Colvin, p. 80 (published by New Readers Press).

# Backward buildup of serials check-in!

- (step 5) Receive issues
- (steps 4 and 5) Set publication pattern and receive issues
- (steps 3, 4, 5) Start receiving (set # of copies and starting volume / issue), then set publication pattern, then receive issues
- (steps 2, 3, 4, & 5) Add issues metadata (captions & values), then start receiving (set # of copies and starting volume / issue), set publication pattern, and receive issues
- (steps 1 through 5) Select bibliographic record and add copy, then all the other steps

# Setting Library Policies Pertaining to Local Holdings Records

## Categories of Policies

1. Option to continue an existing policy or pattern established before the library began using local holdings records.
2. New policy needed: If the library has not used local holdings records before, a new policy will need to be established for this point.

## Option to continue an existing policy, 1

- **(a) Paper holdings of a journal and microform holdings of the same journal attached to a single bib record?**
- Where this policy is reflected: choice of bibliographic records to set holdings on.
- Reference: OCLC, *A Holdings Primer*, p. 8.

## Option to continue an existing policy, 2

- **(b) Successive title entry or latest title entry**
- Like AACR before it, RDA instructs us to use successive title entry (2.3.2.12.2).
- Where this policy is reflected: choice of bibliographic records to set holdings on.
- Reference: OCLC, *Bibliographic Formats and Standards*, "Fixed-Field Elements and 006," "S/L: Entry Convention"  
<http://www.oclc.org/bibformats/en/fixedfield/succ.html> .

## Option to continue an existing policy, 3

- **(c) Consistent abbreviations for volume, number, and issue?**
- Where this policy is reflected: Local Holdings Record field 853 subfields \$a and \$b.
- Reference: Cornell University Library & Parish, Pamela A. "Abbreviations for Captions (For Use in Voyager Holdings Records): Appendix A."  
[https://confluence.cornell.edu/display/LTSP/Abbreviations+for+Captions+\(For+Use+in+Voyager+Holdings+Records\)%3A++APPENDIX+A](https://confluence.cornell.edu/display/LTSP/Abbreviations+for+Captions+(For+Use+in+Voyager+Holdings+Records)%3A++APPENDIX+A)

# (c) Consistent abbreviations continued

WorldShare®

Need Help? User support info Frank

Metadata Acquisitions Circulation Analytics Admin

Discover Items

Search

Scope

My Library Holdings

Index

Title

Term(s)

jama

Search Clear

Advanced Search

Close All Tabs

Search: Title = jama (My Library Holdings)

JAMA : the journal of the American Medical Association.

Discover Collections

Orders

Serial Issues

Receive and Invoice

Invoices

Budgets

Vendors

JAMA : the journal of the American Medical Association. (1124917)

Details WorldCat Holdings Copies (1) Holds (0) Issues 1 of 8

Notes (0)

Receive Issues Manage Issues

Use this screen to receive issue(s) for your institution.

Stop Receiving Status: Receiving

Edit receiving settings

From to Received ALL Search

Results 1 - 10 of 307

Rows 10

Enumeration	Chronology	First Received (All Libraries)	Last Received (My Library)	Expected Release	Type	Copies Received	Action
v.318 n.12	2017 September 26			09/29/2017	Basic	0 of 1	Receive
v.318 n.11	2017 September 19	09/18/2017		09/22/2017	Basic	0 of 1	Receive
v.318 n.10	2017 September 12	09/11/2017		09/15/2017	Basic	0 of 1	Receive
v.318 n.9	2017 September 5	09/05/2017		09/08/2017	Basic	0 of 1	Receive
v.318 n.8	2017 August 22-29	08/21/2017	08/28/2017	08/22/2017	Basic	1 of 1	Unreceive
v.318 n.7	2017 August 15	08/14/2017		08/15/2017	Basic	0 of 1	Receive
v.318 n.6	2017 August 8	08/03/2017		08/08/2017	Basic	0 of 1	Receive
v.318 n.5	2017 August 1	07/24/2017	08/08/2017	08/04/2017	Basic	0 of 1	Receive



## New policy needed, 1

- **(d) Who at my library needs to understand a particular field in the Local Holdings Record?**
- Where this policy is reflected: your library's training program for the serials check-in person and that person's supervisor and for people involved in troubleshooting WMS serials records.

## Five LHR fields recommended to learn and teach first in yellow

(Source: Table of twelve MARC tags for holdings fields,  
from OCLC's Holdings Primer p. 19)

	Basic Bibliographic Unit	Supplement- ary Material	Indexes
<b>Caption and Pattern</b>	853	854	855
<b>Enumeration &amp; Chronology</b>	863	864	865
<b>Textual Holdings</b>	866	867	868
<b>Item Information</b>	876	877	878
<b>A thirteenth field: Location</b>	852		

## New policy needed, 2

- **(e) Paired coded holding fields, or textual holding fields?**
- Where this policy is reflected: choice of holdings fields used in your Local Holdings Records.
- Reference: OCLC, *A Holdings Primer*, pp. 22 bottom to 24 top.

## New policy needed, 3

- **(f) Compressed holdings, or expanded holdings?**
- Where this policy is reflected: Local Holdings Record field 853 first indicator, and field 863 second indicator.

### References:

- (i) OCLC, *A Holdings Primer*, p. 13 (compressed vs. *itemized*; contrasted with Level 3 vs. Level 4);
- (ii) Library of Congress (2011), *Understanding MARC Holdings Records* (<http://www.loc.gov/marc/umh/>), Part IV, #5 (compressed vs. *expanded*).

## New policy needed, 4

- **(g) Level 3 holdings, or level 4 holdings?**
- Where this policy is reflected: Local Holdings Record field 863 first indicator, and presence or absence of field 863 subfields \$b and \$j.
- Reference: OCLC, *A Holdings Primer*, pp. 22 bottom to 24 top.

(g) Level 3 versus Level 4 continued  
863 \$z Used to note missing issues  
(Example from *Local Holdings Format and Standards*)

**#z - Public note**

Subfield #z contains a note relating to all or part of the field. The note is written in a form that is adequate for public display. It contains information that cannot be contained in other subfields. For example, it may be used to specifically record missing issues or numbering irregularities.

...

863 30 #8 1.2 #a 72 #i 1972 #z Apr.28 missing

...

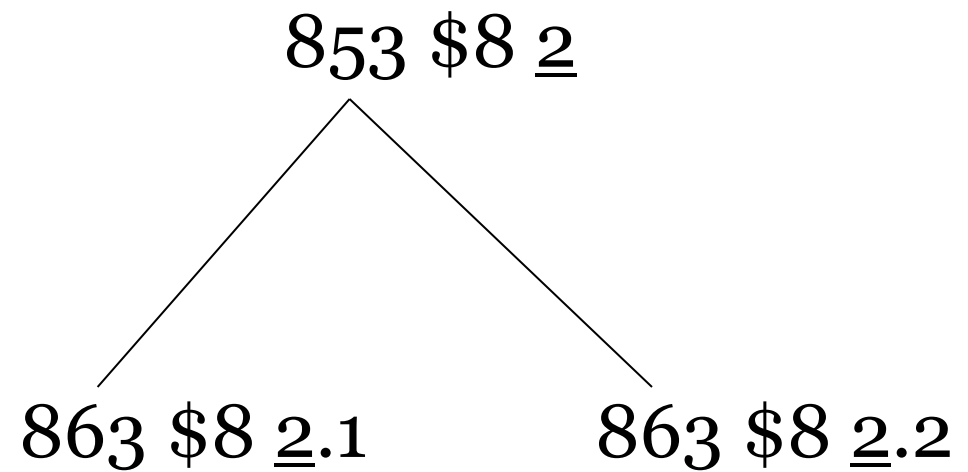
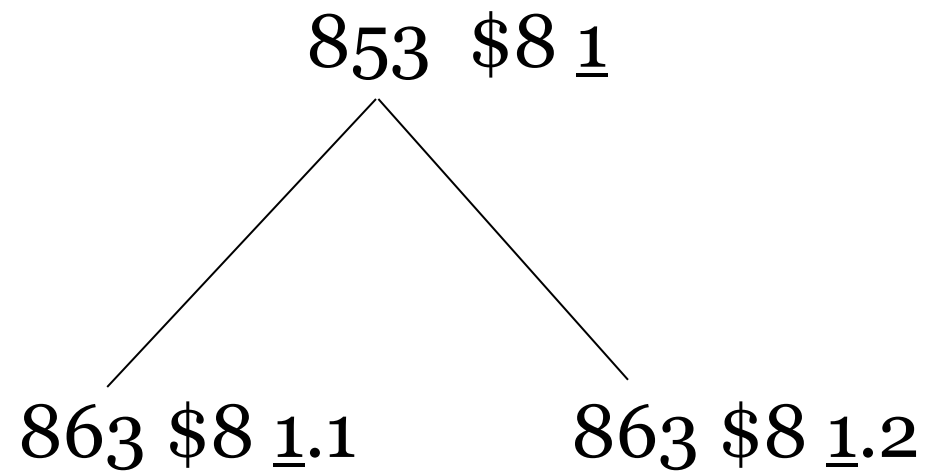
# Examples of Information to Include in a "Spelling Book" on Local Holdings Records

## (a) *Imaginary Journal Which Changed Its Frequency*, to Illustrate the Cooperation of Coded Holdings Fields (853 and 863) in the Local Holdings Record

853	20	\$8 1 \$a v. \$b n. \$i (year) \$j (month)	<i>[Caption &amp; Pattern for when it was monthly]</i>
853	20	\$8 2 \$a v. \$b n. \$i (year) \$j (season)	<i>[Caption &amp; Pattern for when it was quarterly]</i>
863	41	\$8 1.1 \$a 15 \$b 11 \$i 2015 \$j 11	<i>[Enum. &amp; Chron.: v. 15 n. 11, Nov. 2015]</i>
863	41	\$8 1.2 \$a 15 \$b 12 \$i 2015 \$j 12	<i>[Enum. &amp; Chron.: v. 15 n. 12, Dec. 2015]</i>
863	41	\$8 2.1 \$a 16 \$b 1 \$i 2016 \$j 21	<i>[Enum. &amp; Chron.: v. 16 n. 1, spring 2016]</i>
863	41	\$8 2.2 \$a 16 \$b 2 \$i 2016 \$j 22	<i>[Enum. &amp; Chron.: v. 16 n. 2, summer 2016]</i>



# The Cooperation of Coded Holdings Fields (853 and 863) (continued)



## (b) Alphas. Index Showing Where Various Pieces of Information Are Stored in the Local Holdings Record

<u>Piece of information</u>	<u>Where it is stored</u>
Barcode	876 \$p
Call Number (first part)	852 \$h (Call Number classification portion)
Call Number (second part)	852 \$i (Call Number item portion)
Copy number	852 \$t
Location ("Shelving Location")	852 \$c
Price	876 \$c
Public note (for missing issues in Level 3)	863 \$z
Public note (describing relationship of the website to the master record)	852 \$z
Volume number	863 \$a or 866 \$a
Web address (locally added, not in master record)	852 \$u

# References

# Bibliography, 1

- Bloss, Marjorie E. (workshop leader); Gbala, Helen E. (workshop leader); & Randall, Kevin M. (recorder) (2001). Formatting Holdings Statements According to the Niso Standard Z39.71-1999. *The Serials Librarian*, 40:3-4, 261-266.
- Carr, Patrick L. (2006). "The Claim: Staking out New Practices for Achieving the Objectives of Check-In." *Serials Librarian*, vol. 51, no. 1, Sept. 2006, pp. 73-81.  
-- Excellent section pp. 74-75 "The Objectives of Check-in."
- Cornell University Library & Parish, Pamela A. "Abbreviations for Captions (For Use in Voyager Holdings Records): Appendix A."  
[https://confluence.cornell.edu/display/LTSP/Abbreviations+for+Captions+\(For+Use+in+Voyager+Holdings+Records\)%3A+++APPENDIX+A](https://confluence.cornell.edu/display/LTSP/Abbreviations+for+Captions+(For+Use+in+Voyager+Holdings+Records)%3A+++APPENDIX+A)  
-- Also available as: Library of Congress. "Appendix A: Abbreviations for Captions."  
<http://www.loc.gov/aba/pcc/conser/conserhold/Captabbr.html>
- Library of Congress (2016). *MARC 21 Format for Holdings Data*. 2000 Edition with updates through update no. 23 (November 2016). <https://www.loc.gov/marc/holdings/>

# Bibliography, 2

- Library of Congress (2011). *Understanding MARC Holdings Records*. 1st edition, 2011. <http://www.loc.gov/marc/umh/>
- National Information Standards Organization (2006). *Holdings Statements for Bibliographic Items* = ANSI / NISO Z39.71-2006. Oct. 6th, 2006. [http://www.niso.org/apps/group\\_public/download.php/6475/Holdings%20Statements%20for%20Bibliographic%20Items.pdf](http://www.niso.org/apps/group_public/download.php/6475/Holdings%20Statements%20for%20Bibliographic%20Items.pdf)
- OCLC. *Create a Publication Pattern and Start Receiving Serials Issues*. HTML & MP4 tutorial. <https://www.oclc.org/support/training/portfolios/library-management/wms/acquisitions/tutorials/serials-pub-pattern.en.html>
- OCLC. *Create and Maintain Local Holdings Records*. [https://www.oclc.org/support/services/local-holdings/documentation/create\\_maintain\\_lhrs.en.html](https://www.oclc.org/support/services/local-holdings/documentation/create_maintain_lhrs.en.html)
  - Reference resource documenting different ways to create local holding records. Some portions may be specific to OCLC Connexion.

# Bibliography, 3

- OCLC (2008). *A Holdings Primer: Principles & Standards for Local Holdings Records*. 2nd ed. (2008). <https://www.oclc.org/content/dam/support/local-holdings/documentation/primer/Holdings%20Primer%202008.pdf>
- OCLC. *Local Holdings Format and Standards*. <https://www.oclc.org/holdingsformat/en.html>
- OCLC. *Local Holdings Maintenance Quick Reference*. <https://www.oclc.org/support/services/local-holdings/documentation/quickref.en.html>
- OCLC (2015). *Local Holdings Record Updating Service User Guide*. Updated April 30th, 2015. 28 pp. [http://www.oclc.org/content/dam/support/local-holdings/documentation/LHR\\_batch\\_updating\\_guide/LHRUS\\_user\\_guide.pdf](http://www.oclc.org/content/dam/support/local-holdings/documentation/LHR_batch_updating_guide/LHRUS_user_guide.pdf)  
-- This describes how OCLC can batchload local holdings records for a library new to WMS, an important initial step.

## Bibliography, 4

- OCLC. *Serials Issues Management*. HTML and MP4 tutorial. <https://www.oclc.org/support/training/portfolios/library-management/wms/acquisitions/tutorials/serials-issue-management.en.html>
- Paul, H. (1981). Automation of Serials Check-in: Like Growing Bananas in Greenland. *Serials Librarian*. Winter 1981, vol. 6 issue 2/3, pp. 3-16.
- Pope, Barbara M., with Michelle Flinchbaugh, column editor (2012/2013). Biz of Acq: To Check in or Not to Check in: A Survey of Librarians on the Relevance and Necessity of Print Serials Check-in in the 21st Century Library. *Against the Grain*. Dec. 2012/Jan. 2013, vol. 24 issue 6, pp. 63-65.

# Bibliography, 5

- Trenkamp, Donna (2016). *Alternative Pattern Creation, WMS*. PowerPoint available from author. Contribution to Trenkamp, Donna; Lowe, Roberta; Johnson, Kay [Radford University]; & Colbert, Lynn   (2016). "Acquisition Issue Creation, Prediction, LHR Compatibility." Presentation at OCLC WMS Global Community & User Group Meeting, Dublin, Ohio, Sept. 20th, 2016, 10 a.m.  
<https://www.oclc.org/community/worldshare/global2016/2016CommunityInsights.en.html>  
-- Great examples.
- Yale University Library. *Standards for Recording Serial Holdings in MFHD*.  
<http://web.library.yale.edu/cataloging/serialmfhd> . [MFHD = MARC Format for Holdings Data; cf. Library of Congress 2016 above.]  
-- Yale uses textual holdings fields (866-868).



# Questions for the three of us?

- Daniel Jolley, Systems Librarian  
[djolley@gardner-webb.edu](mailto:djolley@gardner-webb.edu)
- Frank Newton, Catalog Librarian  
[fnewton@gardner-webb.edu](mailto:fnewton@gardner-webb.edu)
- Mary Thompson, Theological Librarian  
[mthompson@gardner-webb.edu](mailto:mthompson@gardner-webb.edu)



GARDNER-WEBB  
UNIVERSITY